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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/816,591	04/01/2004	Laura Fuertes-Lopez	NHL-NP-46

CONFIRMATION NO. 8510

FORMALITIES LETTER



OC000000012523354

Nils H. LJUNGMAN & ASSOCIATES

P.O. BOX 130
GREENSBURG, PA 15601-0130

09/23/2004 SDIRETA1 0000006 10816591

01 FC:2001	385.00 OP
02 FC:2051	65.00 OP

Date Mailed: 05/04/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/12/2004 DEMMANU1 00000057 10816591

FILED UNDER 37 CFR 1.53(b)

01 FC:1001	770.00 OP
02 FC:1051	130.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

SUMMARY OF FEES DUE:

Adjustment date: 09/23/2004 SDIRETA1
07/12/2004 DEMMANU1 00000057 10816591
01 FC:1001 -770.00 OP
02 FC:1051 -130.00 OP

Refund Ref: 09/23/2004 SDIRETA1 0000138982 M.E.

CHECK Refund Total: \$450.00



Docket No.: NHL-NP-46
Serial No.: 10/816,591
Customer No.: 00432

Dep & Ref
Rom A302

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXAMINER: (NOT YET RECEIVED)
ART UNIT: 1653
SERIAL NO.: 10/816,591
FILING DATE: April 1, 2004
INVENTORS: Laura FUERTES-LÓPEZ and Marcos TIMÓN-JIMÉNEZ
TITLE: DNA EXPRESSION CONSTRUCT FOR THE TREATMENT OF INFECTIONS WITH LEISHMANIASIS

Greensburg, Pennsylvania 15601

Mail Stop 16
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 2231-1450

August 3, 2004

ATTENTION: REFUND BRANCH

PETITION FOR REFUND OF FEE

Sir:

Please find enclosed herewith a photocopy of a Transmittal Letter dated July 6, 2004 which shows that a Filing Fee of \$770.00 and a surcharge fee of \$130.00 for a large entity were paid relating to the above-cited application on July 6, 2004. However, it is respectfully submitted that the Applicant is a small entity, and that the Filing Fee and Surcharge Fee were mistakenly paid at the large entity rate. Applicant therefore now claims small entity status in accordance with 37 C.F.R. 1.27, and further requests a refund in the amount of

Docket No.: NHL-NP-46
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\$450.00, which is the difference between the Filing Fee and

Surcharge Fee payments for large and small entities.

Early and favorable action on this request is respectfully requested.

If mailed, I, the person signing this certification below, hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-2450, on the date indicated in the certification of mailing on the transmittal letter sent herewith, or if facsimile transmitted, I, the person signing this certification below, hereby certify that this paper is being facsimile transmitted to the United States Patent and Trademark Office on the

Docket No.: NHL-NP-46
Serial No.: 10/816,591
Customer No.: 00432

date indicated in the certification of facsimile transmission on the
transmittal letter which is being facsimile transmitted herewith.

Respectfully submitted,



Nils H. Ljungman, Esq.
Attorney for Applicant(s)
Reg. No. 25,997
Name of person signing certification
Nils H. Ljungman & Associates
P.O. Box 130
Greensburg, PA 15601-0130
Telephone: (724) 836-2305
Facsimile: (724) 836-2313



COPY

Docket No.: NHL-NP-46
Serial No.: 10/816,591
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Greensburg, Pennsylvania 15601

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

July 6, 2004

TRANSMITTAL LETTER

Sir:

Please find enclosed herewith the following documents relating to the above-cited case:

- 1) a Response to Notice to File Missing Parts of Nonprovisional Application;
- 2) a copy of the Notice to File Missing Parts of Nonprovisional Application;
- 3) an executed Supplemental Declaration and Power of Attorney document;
- 4) a check for \$900.00 representing \$770.00 for the basic filing fee, and \$130.00 for the large entity surcharge fee;
- 5) an Information Disclosure Statement (Form PTO-1449) having five pages;
- 6) copies of the references (13) cited in the Information Disclosure Statement;
- 7) a paper copy of a substitute, revised Sequence Listing;
- 8) a diskette containing a copy of the revised Sequence Listing in computer readable form in a sealed envelope;
- 9) a Statement of Identity Under 37 C.F.R. 1.825;



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Greensburg, Pennsylvania 15601

Mail Stop 16
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 2231-1450

August 3, 2004

ATTENTION: REFUND BRANCH

TRANSMITTAL LETTER

Sir:

Please find enclosed the following documents relating to the above-cited case:

- 1) a Petition for Refund of Fee having 3 pages;
- 2) a copy of a Transmittal Letter dated July 6, 2004 which shows that a Filing Fee of \$770.00 and a Surcharge Fee of \$130.00 were paid relating to the above-cited application on July 6, 2004; and
- 3) a stamped, self-addressed postcard, return of which is requested to acknowledge receipt of the enclosed documents.

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Serial No.: 10/816,591
Customer No.: 00432

TRANSMITTAL LETTER

Page 2

It is believed that no fee is required to file the enclosed documents.

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Respectfully submitted,



Nils H. Ljungman, Esq.
Attorney for Applicant[s]
Reg. No. 25,997
Name of person signing certification
Nils H. Ljungman & Associates
P.O. Box 130
Greensburg, PA 15601-0130
Telephone: (724) 836-2305
Facsimile: (724) 836-2313

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Signature

Vivian W. Toohey
Name of person mailing paper or fee

August 3, 2004

Date



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Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

July 1, 2004

AUTHORIZATION TO WITHDRAW FROM DEPOSIT ACCOUNT

Sir:

If a fee is required to avoid abandonment, the Commissioner is hereby authorized to charge any fee, or credit any overpayment, to Deposit Account No. 12-1758. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Nils H. Ljungman".

Nils H. Ljungman, Esq.
Attorney for Applicant[s]
Reg. No. 25,997
Name of person signing certification
Nils H. Ljungman & Associates
P.O. Box 130
Greensburg, PA 15601-0130
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